



Docket No. 204904US2682D/sdc

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DBL-10
6-28-01
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUN 21 2001

Technology Center 2600

IN RE APPLICATION OF: Osamu YAMAGUCHI

SERIAL NO: 09/808,953

GAU: 2641

FILED: March 16, 2001

EXAMINER:

FOR: PERSONAL IDENTIFICATION APPARATUS AND METHOD

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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DOCKET NO: 204904US2SRD

Sheet 1 of 1

SERIAL NO: 09/808,953

Group Art Unit: 2641

STATEMENT OF RELEVANCY

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Reference AW on Form 1449:

This reference describes the range of biometrics systems in development or on the market including: handwriting, human face, and so on.

LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent No.</u>	<u>Filing or Issue Date</u>	<u>Status or Patentee</u>
PER CLIENT	5,982,912	11/09/99	FUKUI et al.
PER CLIENT	09/209,281	12/11/98	UNKNOWN
PER CLIENT	09/352,839	07/13/99	UNKNOWN
204904US2 SRD*	09/808,953	03/16/01	PENDING

*Present application; listed for information
GJM/ae